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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER BASF.10165WOUS |
| INTERNATIONAL APPLICATION NO. PCT/EP2005/001434 | INTERNATIONAL FILING DATE February 12, 2005 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/588,809 |
| TITLE OF INVENTION FORMULATION FOR SEED TREATMENT COMPRISING POLYMERIC STICKERS | | PRIORITY DATE CLAIMED February 16, 2004 |
| APPLICANT(S) FOR DO/EO/US Karl-Heinrich SCHNEIDER et al. | | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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|---|--------------|---|---------|--|---------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/588,809 | | INTERNATIONAL APPLICATION NO. PCT/EP2005/001434 | | ATTORNEY'S DOCKET NUMBER BASF.10165WOUS | |
| 20. Other items or information: Copy of Notification of Missing Requirements; copy of PTO-1390 filed August 9, 2006 with copy of postcard receipt for filing executed Declaration on August 9, 2006: Letter to U.S. Designated/Elected Office | | | | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 | | | | \$ | |
| 22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) | | | | \$ | |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations.....\$200 | | | | \$ | |
| 23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations.....\$500 | | | | \$ | |
| TOTAL OF 21, 22 and 23 = | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| - 100 = | /50 = | | x \$250 | \$ | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage. (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | - 20 = | | x \$ 50 | \$ | |
| Independent claims | - 3 = | | x \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

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- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-3218 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3218. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Hutchison Law Group PLLC
P.O. Box 31686
Raleigh, North Carolina 27612
(919) 829-9600


SIGNATURE

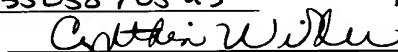
Thomas E. Holsten (PCT/EP2005/001434)
NAME

46,098 Date: June 28, 2007
REGISTRATION NUMBER

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Label No. EV83505870545

Date of Deposit: 06/28/2007


Cynthia Wilder



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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|-----------------------------|-------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/588,809 | Karl-Heinrich Schneider | BASF.10165WOUS |

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|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP05/01434 | |
| I.A. FILING DATE | PRIORITY DATE |
| 02/12/2005 | 02/16/2004 |

Hutchison Law Group
 P.O.Box 31686
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MAY 03 2007

Hutchison Law Group

CONFIRMATION NO. 2789
371 FORMALITIES LETTER



OC000000023594522

Date Mailed: 04/30/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/09/2006
- Copy of the International Search Report filed on 08/09/2006
- Copy of IPE Report filed on 08/09/2006
- Preliminary Amendments filed on 08/09/2006
- Information Disclosure Statements filed on 08/09/2006
- Request for Immediate Examination filed on 08/09/2006
- U.S. Basic National Fees filed on 08/09/2006
- Assignment filed on 08/09/2006
- Priority Documents filed on 08/09/2006
- Specification filed on 08/09/2006
- Claims filed on 08/09/2006
- Abstracts filed on 08/09/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

DOCKETED

Response Due 6/30/07
 dm

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/588,809 | PCT/EP05/01434 | BASF.10165WOUS |

Patent
Attorney Docket No. BASF.10165WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karl-Heinrich SCHNEIDER et al.

Mail Stop PCT

National Stage of
International Patent Application No. PCT/EP2005/001434

Group Art Unit: Unassigned

U.S. Application No.: 10/588,809

International Filing Date: February 12, 2005

Examiner: Unassigned

Title: Formulation for Seed Treatment Comprising Polymeric
Stickers

Confirmation No.: 2789

LETTER TO THE DESIGNATED/ELECTED OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: Assignment Services Division

Sir:

In response to the Notification of Missing Requirements dated April 30, 2007, for the above-identified application, Applicants note that an executed Declaration was already filed with the National Stage application on August 9, 2006. Applicants enclose herewith copies of the executed Declaration, Transmittal Letter under 35 U.S.C. 371 filed by Certificate of Express Mail on August 9, 2006, and a copy of the date-stamped postcard indicating receipt of the executed Declaration by the United States Patent and Trademark Office on August 9, 2006.

Therefore, Applicants believe that they have fulfilled the requirements under 37 C.F.R. § 1.497 and that no fee is due. A notice to this effect is respectfully requested.

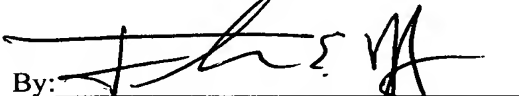
However, the Director is hereby authorized to charge any appropriate fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-3218.

If there are questions, please contact the undersigned.

P.O. Box 31686
Raleigh, NC 27612
(919)829-9600

Respectfully submitted,

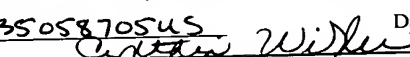
HUTCHISON LAW GROUP PLLC

By: 

Thomas E. Holsten
Registration No. 46,098

Date: June 28, 2007

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Label No. EV83505870545 Date of Deposit: 06/28/07

Cynthia Wilder

National Stage Application in the U.S. under 35 U.S.C. 371

Applicant: Karl-Heinrich SCHNEIDER, Osamu YAMADA, Claude TARANTA, Winfried MAYER, Dirk VOLSTE, Egon HADEN, Gerd STAMMLER, Kenichi KOJIMA, Masatoshi MOTOYOSHI, Norikazu TAKAYANAGI.

Application No.: Unassigned

International Application No.: PCT/EP2005/001434

International Filing Date: February 12, 2005

Title: Formulation for Seed Treatment Comprising Polymeric Stickers

Docket No.: BASF.10165WOUS

10/588809

Receipt is hereby acknowledged for the following papers filed in the U.S. Patent and Trademark Office on August 9, 2006

- | | | |
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| <input checked="" type="checkbox"/> Transmittal Letter to U.S. Designated/Elected Office Concerning Filing Under 35 USC 371 (PTO-1390) | <input checked="" type="checkbox"/> Assignment/Assignment Recordation Form Cover Sheet (PTO-1595) | <input checked="" type="checkbox"/> Charge \$1640.00 to Deposit Account |
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| <input type="checkbox"/> Unexecuted Declaration/Power of Attorney | <input checked="" type="checkbox"/> Information Disclosure Citation (PTO/SB/08) | <input type="checkbox"/> |
| <input type="checkbox"/> Copy of PCT/IB/301 | <input checked="" type="checkbox"/> Information Disclosure Statement w/ <u>10</u> document(s) | <input checked="" type="checkbox"/> Notification of the Recording of a Change |
| <input type="checkbox"/> Copy of PCT/IB/304 | <input checked="" type="checkbox"/> Preliminary Amendment | <input checked="" type="checkbox"/> copy of the International Application as filed |
| <input type="checkbox"/> Copy of PCT/IB/308 | <input checked="" type="checkbox"/> Copy of International Search Report | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> Copy of PCT Pub. No. WO 2005/077170 | <input type="checkbox"/> Copy of International Preliminary Examination Report | <input type="checkbox"/> |
| <input type="checkbox"/> English Translation of International Application No. _____ | <input checked="" type="checkbox"/> International Preliminary Examination Report including Annexes | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> Translation of Annexes to IPER | <input type="checkbox"/> |

Documents submitted via Express Mail Label No.: EV 835 060 315 US

1AP15 Rec'd PCT/PTO 09 AUG 2006



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| Total Postage & Fees \$ | | Total Postage & Fees \$ | | Time <input type="checkbox"/> AM <input type="checkbox"/> PM | | Employee Signature | |
| Int'l Alpha Country Code | | Acceptance | | Time <input type="checkbox"/> AM <input type="checkbox"/> PM | | Employee Signature | |

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